

*Please fill-out in **BLOCK** letters, if not applicable, write "N/A"*

Client Type Corporation Partnership Others: _____ **Account Type** Cash Regular Discretionary Margin

Name of Company _____ **TIN** _____ SSS No. / GSIS No.

Trade Name _____ **Is Business Tax Exempt?**
 No Yes: (Submit BIR Tax Exemption Letter)

SEC Registration (if applicable) _____ **DTI Registration (if applicable)** _____
 Registration Number Date of Registration Country of Registration Registration Number Expiration Date of Registration Country of Registration

Type of Business Partnership Corporation Others: _____ **Nature of Business** Manufacturing Trading Services Others: _____ **Nationality** Filipino Others: _____

Present Business Address
 Number/Floor/Unit Building Name Street Barangay/Subdivision City Province Country Zip Code

Present/Preferred Mailing/Delivery Address Same as Present Business Address
 Number/Floor/Unit Building Name Street Barangay/Subdivision City Province Country Zip Code

Contact Information
 Landline No./s Mobile No./s Fax No./s Website Social Media Account/s Email Address/es

Names of Persons Authorized to Transact Business on Behalf of the Customer
 Name Designation Contact Information

Investment Objective Long-term Investment Preservation of Capital Growth Speculation Others: _____

Source of Funds Business Dividends Proceeds from investment Interest Income Inheritance
 Sale of Goods Sale of Properties Others: _____
Financial Status < 500K | ≥500K but <1M | ≥1M but <5M | ≥5M but <25M | ≥25M but ≤40M | Over 40M
 Annual Income Asset Networth

Disclosures
 Is the company/entity associated with a listed company? If YES, please provide details: Yes No
 Is the company/entity associated with another broker/dealer? If YES, please provide details: Yes No
 Company Type of Association

Mode of Delivery of Confirmation/Invoice and Statement of Account Pickup Courier/Mail In-House Messenger Email Fax Others _____

Do You Require Duplicate Confirmations? Yes No If YES Recipient _____ Relationship _____

We hereby confirm that all data and information given on this form are true and correct. We hereby allow Unicapital Group to verify and investigate said information and/or secure such other information as may be required by Unicapital Group.

 Signature Over Printed Name of Authorized Signatory/ Date

FOR UNICAPITAL SECURITIES, INC.'s USE ONLY			
Salesman's Attestation I hereby certify that I have conducted the appropriate Know-Your-Client (KYC) and Customer Due Diligence (CDD) procedures with the client in accordance with the relevant rules and I have verified the information in the CIF as true and correct to the best of my knowledge and belief. I have sighted all the originals of the documents submitted and undertake full responsibility thereat. Signature Above Printed Name of Salesman/Marketing Officer _____ Date _____	Head of Sales	_____	Date
	Encoded by	_____	Date
	Approved by OM	_____	Date

CLIENT CODE	PSE/ TRADING ACCOUNT CODE	COMM. RATE	TRADING LIMIT

This is to confirm my/our understanding that UNICAPITAL SECURITIES, INC. ("USI") will act as my/our broker for my/our transactions in Securities. I/We also confirm that all my/our order purchases or sales of Securities shall be subject to the prevailing rules of the Philippine Stock Exchange, Inc. or any exchange in which USI is a member, to the regulations of the Securities and Exchange Commission, other government agencies and to the following terms and conditions:

1. For the purpose of selling, buying or performing other acts stated herein. I/We hereby irrevocably appoint and constitute USI, its officers, employees, or successors-in-interest and/or assigns, as well as any sub-agent, broker, or attorney-in-fact it may appoint for that purpose, as may true and lawfully attorney-in-fact, with full power and authority to buy or sell, lend or borrow securities, or otherwise act for any of my/our account(s) (whether carried individually or jointly with others), to agree upon the price of the securities, execute bills of sale, receipts, assignments of all my/our rights, title and interests to the purchaser(s) thereof or such other instruments in writing or documents as may be necessary, and to deliver or accept delivery of the corresponding stock certificates, and to do all other acts which USI may directly or indirectly do or cause to be done in accordance with the powers herein conferred, all of which are hereby deemed ratified by me/us in all respects. For this purpose, USI shall be entitled to rely on any instructions, notices and communications that it believes to have originated from me/us and we shall be bound thereby.
2. Any order by me/us for the purchase or sale of securities shall be binding on USI only upon its issuance of the contract note. USI does not warrant that any order placed can be or has been executed unless the contract note is issued. The contents of contract notes sent to my/our address indicated herein shall be deemed conclusive upon me/us as to their correctness, unless within twenty-four (24) hours from receipt thereof, written notice of any objection is served upon USI.
3. In consideration of the foregoing, I/we hereby agree to pay USI commission at such rates as may from time to time be communicated to me/us, transfer fees upon actual transfer of the certificate issued to me/us, other fees relative to the purchase and sale of securities, documentary stamp taxes, and other levies and duties thereon.

The amount due herein shall be delivered in full to USI within the same period required under paragraph 4 hereof.

4. When required by USI, I/we agree to make a deposit on all my/our purchases equivalent to the amount stipulated. Securities purchased on my/our behalf shall be registered in the name of USI until full payment of the purchase price, which payment shall in no case be made later than as specifically required by USI, or three (3) days after the date of the said purchase, whichever is earlier, without need of any notice or demand. Subject to paragraph 18 hereof, USI may at its sole discretion, cancel in writing any waiver of deposit for my/our account.
5. The transfer of registration of stock certificates in accordance with my/our instructions shall be subject to the regular course of cleaning and compliance by the Clearing House/transfer agents with USI's transfer instructions. Certificates shall be issued under USI's name unless payment is received within twenty-four (24) hours from purchase or as otherwise required or instructed by me/us. Payments made by check shall have effect of payment when cleared by the drawee bank. My/Our failure to pay on the date specifically required by USI or on the third day from the date of purchase, whichever is earlier shall immediately, and without need of noticed or demand, authorize USI to sell the Securities for my/our account.
6. The proceeds of the sale of securities sold for my/our account, net of the sums owing to USI and those mentioned in paragraph 3 hereof shall be delivered to me/us within three (3) days from the date of the sale or based on market practice of T + 3, whichever is longer, unless I/we direct or otherwise advise USI in writing. USI shall not be bound to deliver said sale proceeds to me/us unless I/we deliver to USI, the instruments evidencing the securities, properly endorsed, or until all amounts which I/we may owe USI have been paid in full.
7. Any and all securities or contracts relating thereto, which are now or may hereafter be held or carried by USI in any of my/our accounts (either individually or jointly with others), are to be held by USI as collateral for the payment of any claim which USI may have against me/us, with the right to transfer monies or securities to another when, in USI's judgement, such transfer may be necessary.

In the event my/our cash account is not liquidated within three (3) days from date of purchase or whenever in its sole discretion USI, considers it necessary for its own protection, I/we hereby specifically authorize and empower USI, without need of prior notice and demand, to sell so much of the securities in my/our account(s) (whether herein carried individually or jointly with others) and herein delivered as collateral, necessary for the payment of any of my/our obligations to USI. I/We hereby guarantee that such securities are free from all liens and encumbrances it being expressly understood that in the event that any such liens are later discovered which prevent the subsequent negotiation of the said securities, USI may, at its sole discretion, buy back the sold securities and collect from me/us whatever amount USI may incur by reason of such buy-back, including damages which it may suffer or may be required to pay. I/We further authorize USI to buy, lend, borrow or arrange for the lending or borrowing of any and all securities to cover for any short-selling in such account(s), to transfer monies or securities from any one of my/our account(s) to another, and to settle all outstanding obligations. It is hereby agreed and understood that I/we shall at all times be liable for the payment of any unpaid balance owing, if any, on my/our account(s) together with interest, provided that I/we shall remain liable for any deficiency remaining in any such account(s) in the event of liquidation.

8. I/we agree to pay the then current fees and charges upon my/our purchase and sale of securities. I/we undertake to have sufficient funds in my/our account prior to entering any transaction. I/we acknowledge and agree that such commission rates and fees are subject to change at any time, with reasonable notice by posting such notice on the Website or by other means, and I/we agree to be bound thereby. I/We agree to check the Website once-a-month for updates on the rates fees and charges to my prospective transactions. I/We shall update ourselves with current fees and charges by reaching out to USI. Current fees and charges are listed on Annex A. I/We undertake to not withhold, suspend, discontinue, alter or modify any payment or obligation arising from this Agreement for any reason including the existence of any dispute, claim or allegation against USI.
9. We hereby also authorize USI, without need of any further notice from me/us, to pledge any securities beneficially owned by me/us either separately or in common with other securities, for any amount due from me/us on my/our account (s).
10. In addition to the foregoing, USI shall be entitled to set off any amount payable by USI pursuant to this account against any amount owing from me /us pursuant to this account or any of my/our accounts held or carried by USI (either held individually or jointly with others) which are due and demandable.
11. While the securities subject of the foregoing terms and conditions are in USI's possession their custody shall governed by the Safekeeping Agreement which I/we have executed.
12. Unless otherwise agreed upon in writing, any of my/our unpaid accounts shall bear interest based on the average 90-day Treasury Bill rate computed from time I/we incur in delay until full payment, plus two percent (2%) per annum without need of demand. In the event of any controversy or litigation arising out of this Agreement, USI shall be entitled to a reasonable compensation for attorney's fees and costs of collection, which shall not in any event be less than twenty-five percent (25%) of the total amount of my/our indebtedness then outstanding, or One Hundred Thousand Pesos (P100,000) whichever is greater. It is agreed that venue of action for any litigation shall be in the proper courts of the City of Makati, Metro Manila, Philippines, any other venue of suit being waived.
13. I/We understand that the stock market is a rapidly changing market and there is an inherent risk incurring loss in securities transactions, which shall be for my/our account. For this reason, I/we hereby hold USI free and harmless against any and all costs, losses, damages, fines, penalties and taxes which it may incur arising out of or in connection with the exercise of any of its functions and powers herein authorized, except those arising from fraud or gross negligence and in the later case, without responsibility for all damages except actual damages.

14. I/we also, understands that USI will provide me/us with monthly statements of our account (in such form as USI may determine) setting forth the list of securities held for my/our account(s), if any in the name of USI, its agents or nominees, and details of all transactions entered into since the date of the preceding statement (if any), and containing such further information as USI may consider relevant.
15. It is agreed that all communications, whether by mail, telegraph, telephone messenger or otherwise sent to me/us at the address appearing in USI's records shall constitute personal delivery to me/us; and that in the event of my/our failure to notify USI in writing of any change of address. All communications shall be directed to my/our last known address appearing in USI's records. It is further agreed that all communications sent to my/our indicated address shall be conclusive as to their correctness, in the absence of any written objection received by USI within twenty-four (24) hours from the time the communications were sent to said address.
16. No failure or delay on part of USI in exercising any right, power or remedy upon my/our breach default of any of the provisions of this Agreement shall impart any right, power or remedy, nor shall it may be construed as waiver of any such breach or default thereafter occurring, nor shall any single breach or default be deemed a waiver of any other breach thereafter occurring, nor shall single or partial exercise of any such right or power preclude any further exercise thereof, or the exercise thereof, or the exercise of any other right or power hereunder.
17. USI shall have the discretion to resort to any other means of obtaining payment of any amount due without affecting any of USI's rights herein. All remedies either under this Agreement or by law or otherwise afforded to USI, shall be cumulative and not alternative.
18. The benefit and burden of this Agreement is personal to me/us and shall not be assigned by me/us without USI's prior express written consent.
19. In case of joint accounts, it shall be expressly indicated whether the same is "and/or" or an "and" account, with all parties to said account signing thereunder. Where the context requires, the singular be deemed to include the plural. Unless otherwise indicated, a joint account shall be deemed to be an "and/or" account. For "and" accounts prior to the execution of any instruction, order or communication, the consent by all signatories must be obtained. For "and/or" accounts, the consent of any one of the signatories shall constitute sufficient authority for the execution of any instructions, orders, consents and communications which USI may to have originated from any one of the signatories, and all of them shall be bound thereby. With respect to any action taken or not taken by USI in reliance upon instructions, orders, consents or communications believed by USI to be those one of the signatories, USI shall be held free and harmless against any and all losses, damages costs, penalties, fines and taxes which may be incurred as a result thereof. Any liability arising herein shall be deemed to be the joint and several liabilities of the signatories thereunder. Furthermore, and under pain of perjury, transactions made by any or all of us under "and/or" accounts are understood to have been made with an explicit affirmation that all of us are still living on the date of such transactions, and that USI shall be kept free and harmless for its reliance upon such attestation. We further agree and declare that securities now and hereafter in the account shall be our joint property and owned by us as joint tenants with right of survivorship. Upon the death of either of us, the account shall become the absolute property of the survivor. The account may be sold, pledged or otherwise encumbered upon the order of either of us or the survivor which shall be binding upon us and upon our heirs, next of kin, legatees, assignors and personal representatives.
20. The undersigned hereby unconditionally and irrevocably
 - 20.1 Authorizes and grants USI the absolute discretion to record or cause the recording, using any device which USI may deem appropriate or advisable (including, without limitation, tape recorders and other recording instruments), any telephone communications or and communication or spoken word between the undersigned and any representative of USI in the course of, or in connection with any transaction or dealing hereunder, which may relate or pertain hereto, and any such recording or any part thereof shall be admissible as evidence in any proceeding, and,
 - 20.2 Authorizes USI and its representative to replay any such recording for any person or persons as USI shall, at its discretion, deem fit to communicate the contents thereof orally or in writing, or to provide transcription thereof whether in whole or in part, to such other person or persons.
21. If any one or more of the provisions contained in this Agreement or any other document executed in connection herewith shall be invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired.
22. All the above provisions are deemed incorporated by reference in all contract note(s) issued to me/us regarding execution of my/our orders.
23. This Agreement shall continue to be in full force and effect until signed notice of revocation is received by or from me/us, and in the case of such revocation, it shall continue to be valid and binding as to transactions entered prior thereto.

My/Our signature below affirms conformity to the foregoing conditions and evidences my/our receipt of a copy of this Customer's Account information and Agreement Form.

SIGNED by

Signature Over Printed Name

Printed Name Over Signature

Signature Over Printed Name

Printed Name Over Signature

Signed In the presence of



UNICAPITAL SECURITIES, INC.
TERMS AND CONDITIONS OF SAFEKEEPING AGREEMENT
CORPORATE/INSTITUTIONAL CUSTOMER

The securities subject to this Agreement (the "Securities") are received for the reason(s) herein stated only and no other. In case of any discrepancy, please notify UNICAPITAL SECURITIES, INC. ("USI") within three (3) days from receipt. Any later objections will not be considered by USI.

When required by USI, a charge in accordance with USI's prevailing Safekeeping Charges will be applied each month or fraction thereof during which the Securities are held.

USI will give the securities the same degree of physical care that it gives its own property, but does not assume responsibility beyond that, USI shall not be liable for any loss or damage to the securities or impairment in their value except those directly caused by acts amounting to fraud or gross negligence by USI. USI shall not be responsible for any form of damages on other liabilities arising from its performance of this Agreement.

The Securities may be kept by USI in its offices or maybe deposited with any depository at its discretion.

The Securities will be held in USI's custody at my/our sole risk as regards to any laws, decrees, regulations or mandates promulgated by any/or any act of war, warlike operations seizure, destruction or impairment of property done by any governmental authority and/or those risks cause by fortuitous events.

It is agreed that USI has no responsibility for the collection of coupons, interest or dividends on the Securities except as provided by law. USI also accept no responsibility for the receipt and/or forwarding of any communication relative to the Securities.

USI shall comply with all laws, writs or juridical or administrative orders, process or regulations without our obligations to confirm or question the legality or constitutionality of such order, process or regulation. In the case of order and processes, USI shall be authorized to act on basis of documents or copies which purport and which USI as its discretion believes to be genuine without any duty to ascertain their authenticity.

In all cases where USI is of the opinion that it will be subjected to any claim or demand for taxes or be required to comply with any law, order, process or regulation, it may withhold payment of any sums due to me/us until USI shall have received an order or a satisfactory ruling from administrative authorities or from the courts which would allow the release thereof under circumstances which, in USI's opinion, forecloses the possibility of liability attaching to USI; for this purpose, USI may, for my/our account, seek the assistance of any lawyer, accountant or other experts.

I/We agree to reimburse USI for all expenses including attorney's fees, and for all charges incurred or paid in good faith by it, or because of my/our failure to comply with my/our obligations hereunder. I/We further hold USI harmless from all claims, demands and liabilities, which may be made against it. All sums due USI shall be payable on the date due without need of demand. Any of my/our unpaid account shall bear interest based on the average 90-day Treasury Bill rate computed from the time I/we incur in delay until full payment, plus two (2%) percent per annum, without need or demand.

The Securities shall be held or disposed of by USI in accordance with the terms and conditions of this Agreement and any other agreement between me/ourselves and USI relating to the securities. In the absence of any such agreement(s), at USI's discretion, at my/our order, provided that USI shall have the right to retain the Securities pledged or which USI has any security interest until full payment of what may be due by reason of the deposit or otherwise, to USI. In case of any claims made upon the Securities by any third person, USI shall notify me/us of such claims and, at its discretion and without liability on its part, return the same or resort to the courts pleas.

All deposits and withdrawals of all, some or any of the Securities made by me/us from USI's custody shall be signed and, evidenced by appropriate receipts. Any receipts or acknowledgement signed by me/ourselves, my/our agent or representative is conclusive evidence of the delivery or withdrawal of the certificate of stock herein specified.

Venue of any action arising under this Agreement shall be in the proper courts of the City of Makati, Metro Manila, Philippines, all other available venues of suit being waived.

This Agreement shall take effect upon the confirmation of the purchase of the Securities and shall continue in full force and effect until disposition thereof in the manner provided in this agreement, unless otherwise terminated either by USI or myself/ourselves by giving the other at least fifteen (15) days prior written notice of termination.

This Agreement, and deposits and withdrawals on this account is governed by and subject to the laws and applicable rules and regulations in effect from time to time in the Republic of the Philippines.

My/Our signature below affirms conformity to the foregoing conditions and evidences my/our receipts of a copy of this Safekeeping Agreement.

SIGNED by

_____	_____
Signature Over Printed Name	Printed Name Over Signature
_____	_____
Signature Over Printed Name	Printed Name Over Signature

Signed In the presence of

ACKNOWLEDGEMENT

Republic of the Philippines)
 City of Makati) S.S.

Before me, a Notary Public for in Makati City, a personally appeared the following:

Name	ID Type and Number	Date & Place of Issuance
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Known to be the same persons who executed the foregoing instruments and acknowledge to me that the same is their free and voluntary act and deed.

WITNESS MY HAND AND SEAL on the date and the place first above mentioned.

Doc. No. : _____
 Page No. : _____
 Book No. : _____
 Series of : _____